



TECHNICAL DOCUMENT

Surveillance of surgical site infections in European hospitals – HAISSI protocol

Protocol version 1.02

ECDC TECHNICAL DOCUMENT

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Abbreviations

ASA American Society of Anesthesiology
CABG Coronary artery bypass grafting

CBGB Coronary artery bypass grafting with both chest and donor site incisions

CBGC Coronary artery bypass grafting with chest incision only

CHOL Cholecystectomy
COLO Colon surgery
CSEC Caesarean section

ECDC European Centre for Disease Prevention and Control

EC European Commission

EU European Union
GP General practitioner

HAI Healthcare-associated infections

HAI-Net European network for the surveillance of healthcare-associated infections

HELICS Hospitals in Europe Link for Infection Control through Surveillance

HPRO Hip prosthesis
IC Infection control
ICU Intensive care unit

IPSE Improving Patient Safety in Europe

KPRO Knee prosthesis
LAM Laminectomy
LOS Length of stay
MS Member States

NHSN National Healthcare Safety Network (formerly NNIS)

SSI Surgical site infections

TESSy The European Surveillance System

Introduction and objectives

The European Council Recommendation of 9 June 2009 on patient safety, including the prevention and control of healthcare-associated infections (HAI) (2009/C 151/01), recommends 'performing the surveillance of the incidence of targeted infection types', 'using surveillance methods and indicators as recommended by ECDC and case definitions as agreed upon at Community level in accordance with the provisions of Decision No 2119/98/EC' [1,2].

In 2000–2002, harmonised methods for the surveillance of two targeted infection types, surgical site infections (SSI) and healthcare-associated infections in intensive care units (ICU), have been developed by the network HELICS (Hospitals in Europe Link for Infection Control through Surveillance), funded by the European Commission's Directorate-General for Health and Consumers (DG SANCO), and progressively implemented in Member States by HELICS and later as part of the Improving Patient Safety in Europe (IPSE) project. In July 2008, the coordination of the European surveillance of healthcare-associated infections was transferred from the IPSE network to the European Centre for Disease Prevention and Control (ECDC) in accordance with ECDC's mandate. ECDC continued HAI surveillance as in HELICS in 2008 and 2009, while changes to the protocols were agreed during the annual meetings of the HAI surveillance network in Stockholm in June 2009 and June 2010. The current protocol describes the methods for the surveillance of surgical site infections as they were implemented in The European Surveillance System (TESSy) for communicable diseases covered under Decision No 2119/98/EC, in 2010.

Surgical site infections (SSI) are an important target for the surveillance of healthcare-associated infections and an official priority for surveillance in several European countries. Surgical site infections are among the most common healthcare-associated infections, associated with longer postoperative hospital stay, additional surgical procedures or stay at intensive care unit, and often higher mortality. All patients undergoing surgery are at risk for complications, including SSI.

The main objective of the European protocol for the surveillance of SSI is to ensure standardisation of definitions, data collection and reporting procedures for hospitals participating in the national/regional surveillance of surgical site infections across Europe, in order to contribute to the EU surveillance of healthcare-associated infections and to improve the quality of care in a multicenter setting.

The specific objectives of the surveillance activities are:

At the level of the hospital:

- To lower the incidence of SSI by encouraging the owners of the problem (primarily the surgical staff) to:
 - comply with existing guidelines and 'good surgical practice';
 - correct or improve specific practices; and
 - develop, implement and evaluate new preventive practices.
- Participation to the European network will also produce gains at local level from international comparisons
 that may provide insights that would not be revealed by surveillance limited at the regional or national level.

At the level of regional or national network coordination:

- To provide the units with the necessary reference data to make comparisons of risk-adjusted rates between units/hospitals:
 - to follow-up epidemiological trends in time;
 - to identify and follow-up risk factors of SSI; and
 - to improve the quality of data collection.

At the European level

- To monitor and describe the epidemiology of SSI in the EU in view of responding to the objectives of Decision 2119/98/EC of the European Parliament and of the European Council [2].
- To follow-up the incidence and the geographical spread of SSI for a selection of surgical procedures.
- To identify regions or countries at higher need of EU support with regard to surveillance and control of healthcare-associated infections.
- To ensure communication of relevant data on healthcare-associated infections to the European Commission as a complement to the data transmitted by the national health authorities.
- To facilitate the communication and the exchange of experience between national/regional networks for the surveillance of healthcare-associated infections.
- To stimulate the creation of national/regional coordination centres for the surveillance of SSI where these centres/networks do not exist.
- To provide methodological and technical support to the national/regional coordination centres.
- To improve surveillance methodology, data validation and utilisation.
- To validate risk factors of SSI at the EU level.
- To explore the correlation between structure and process indicators and the incidence of surgical site
 infections throughout Europe in order to generate hypotheses and new insights in healthcare-associated
 infection control.

1 From IPSE/HELICS-SSI to HAI-Net SSI: summary of major changes

The first version of this document was produced in October 2003 as protocol *Surveillance of Surgical Site Infections* (HELICS/IPSE protocol 9.1, 2004). Changes to the protocol have been applied, either based on agreements made during the annual meetings of the European network for the surveillance of healthcare-associated infections (HAI-Net) in June 2009 and June 2010, or because they were necessary for the integration of the HAI surveillance data into The European Surveillance System (TESSy) of ECDC.

- 1. A hospital/unit-based 'light' version of the SSI surveillance protocol is now available. Denominator data are collected per hospital and operation type, optionally per hospital-unit and operation type. Data on risk factors such as the American Society of Anesthesiology (ASA) score, wound contamination class, are not collected. However, the light version does allow calculating the main indicators for SSI surveillance as in the standard (patient-based) version.
- 2. HAI-Net SSI standard and light protocol datasets both contain four levels: hospital-unit, one record per operation (standard) or aggregated denominator per operation category (light), an infection level and a microorganism-antimicrobial resistance (bug-drug) level. Countries can submit data to ECDC as separate CSV files or as a single XML file.
- 3. An additional HAISSICOVERAGE dataset/file was introduced to collect the total numbers of operations carried out at the national level per year, for the calculation of the surveillance coverage of the operative categories included in the surveillance.
- 4. A variable collecting information on the method of post-discharge surveillance was introduced at the hospital (optionally unit) level, both in standard and light version.
- 5. Antimicrobial resistance markers were changed to those of the protocol for the European Point Prevalence Survey of healthcare-associated infections and antimicrobial use in acute care hospitals. Some microorganisms were corrected or added (_NA=result is not yet available or missing; ENCFAI instead of ENCFAC for *Enterococcus faecium;* CANKRU= *Candida krusei*).

Version 1.02

Version 1.01 was posted on the HAI-Net extranet in May 2011. The following changes have been made to v1.01:

Section 6.2. Clarification regarding the variables 'date of hospital discharge' (DateOfHospitalDischarge) and 'date of last follow-up post-discharge' (DateOfLastFollowup) were made.

Section 6.3. A value unknown (UNK=unknown) is now allowed for the variable 'Date of infection' (DateOfOnset) referring to the date of infection onset (if not known give an estimate of the best of your knowledge).

Section 7.2. In the Light protocol denominator data, the variable 'number of operations' (NumOperations) has become mandatory (changed from Warning to Error if missing).

Section 7.3. A value unknown (UNK=unknown) is now allowed for the variable 'Date of infection' (DateOfOnset) referring to the date of infection onset (if not known give an estimate of the best of your knowledge).

Section 7.3. The two variables 'Date of operation' (DateOfOperation) and 'Date of discharge from hospital' (DateOfDischarge) have been added (omitted from v1.01).

2 Unit-based (light) versus patient-based (standard) surveillance of surgical site infections

As for the protocol for the surveillance of ICU-acquired infections, a unit-based version has now been added for the surveillance of surgical site infections, as agreed at the Annual Meeting of June 2010. While the 'standard' patient-based protocol allows risk adjustment of SSI rates through the use of the basic NNIS (now NHSN, National Healthcare Safety Network) risk index for inter-hospital comparisons [3,4,5], the unit-based or 'light' protocol, provides a less-labour intensive solution, producing partially the same indicators as the patient-based version for follow-up of trends and the same possibilities for adjustment of differences in post-discharge surveillance, as well as descriptive results about infections and antimicrobial resistance, but with no possibility for risk-adjusted comparisons.

Case definitions and included patients are the same for both versions, but while in the patient-based protocol risk factors are collected for each patient (infected or not), in the light protocol denominator data are aggregated at the hospital (and optionally surgical unit) level.

3 Definitions [6,7]

3.1 Case definitions of surgical site infections

The same case definitions are used as in previous protocol, e.g. HELICS *Surveillance of Surgical Site Infections* – Version 9.1, September 2004.

3.1.1 Superficial incisional

Infection occurs within 30 days after the operation and involves only skin and subcutaneous tissue of the incision and at least one of the following:

- purulent drainage with or without laboratory confirmation, from the superficial incision;
- organisms isolated from an aseptically obtained culture of fluid or tissue from the superficial incision;
- at least one of the following signs or symptoms of infection: pain or tenderness, localised swelling, redness, or heat and superficial incision is deliberately opened by surgeon, unless incision is culture-negative;
- diagnosis of superficial incisional SSI made by a surgeon or attending physician.

3.1.2 Deep incisional

Infection occurs within 30 days after the operation if no implant is left in place or within one year if implant is in place and the infection appears to be related to the operation and infection involves deep soft tissue (e.g. fascia, muscle) of the incision and at least one of the following:

- purulent drainage from the deep incision but not from the organ/space component of the surgical site;
- a deep incision spontaneously dehisces or is deliberately opened by a surgeon when the patient has at least one of the following signs or symptoms: fever (> 38° C), localised pain or tenderness, unless incision is culture-negative;
- an abscess or other evidence of infection involving the deep incision is found on direct examination, during reoperation, or by histopathologic or radiologic examination;
- diagnosis of deep incisional SSI made by a surgeon or attending physician.

3.1.3 Organ/space

Infection occurs within 30 days after the operation if no implant is left in place or within one year if implant is in place and the infection appears to be related to the operation and infection involves any part of the anatomy (e.g. organs and spaces) other than the incision that was opened or manipulated during an operation and at least one of the following:

- purulent drainage from a drain that is placed through a stab wound into the organ/space;
- organisms isolated from an aseptically obtained culture of fluid or tissue in the organ/space;
- an abscess or other evidence of infection involving the organ/space that is found on direct examination, during reoperation, or by histopathologic or radiologic examination;
- diagnosis of organ/space SSI made by a surgeon or attending physician.

3.2 Other key definitions

3.2.1 Basic SSI risk index

The basic SSI risk index is the index used in National Healthcare Safety Network (NHSN) and assigns surgical patients into categories based on the presence of three major risk factors [3,4,5,6,7,8,9]:

- operation lasting more than the duration cut point hours, where the duration cut point is the approximate 75th percentile of the duration of surgery in minutes for the operative procedure, rounded to the nearest whole number of hours;
- contaminated (class 3) or dirty/infected (class 4) wound class;
- ASA classification of 3, 4, or 5.

The patient's SSI risk category is the number of these factors present at the time of the operation.

Calculation of basic SSI risk index

Calculation	Score =0, if:	Score=1, if:
Wound contamination class	W1, W2	W3, W4
ASA classification	A1, A2	A3, A4, A5
Duration of operation	≤T	> T
T (see table in chapter 3.2.4)		
Basic SSI risk index =	Sum of scores	

3.2.2 Wound contamination class

Wound contamination class as described by Altemeier et al. [8].

Wound contamination classification

Wound contamination class	Description
W1	A clean wound is an uninfected operative wound in which no inflammation is encountered and the respiratory, alimentary, genital or uninfected urinary tracts are not entered. In addition, clean wounds are primarily closed and, if necessary, drained with closed drainage. Operative incisional wounds that follow non-penetrating trauma should be included in this category.
W2	Clean-contaminated wounds are operative wounds in which the respiratory, alimentary, genital or uninfected urinary tracts are entered under controlled condition and without unusual contamination. Specifically operations involving the biliary tract, appendix, vagina and oropharynx are included in this category provided no evidence of infection or major break in technique is encountered.
W3	Contaminated wounds include open, fresh, accidental wounds. In addition operations with major breaks in sterile technique or gross spillage from the gastrointestinal tract, and incisions in which acute, nonpurulent inflammation is encountered are included in this category.
W4	Dirty or infected wounds include old traumatic wounds with retained devitalised tissue and those that involve existing clinical infection or perforated viscera. This definition suggests that the organisms causing postoperative infection were present in the operative field before the operation.

3.2.3 The ASA physical status classification (ASA score)

Physical status classification developed by the American Society of Anesthesiology (ASA) [9].

ASA physical status classification

ASA score	Description
A1	Normally healthy patient
A2	Patient with mild systemic disease
A3	Patient with severe systemic disease that is not incapacitating
A4	Patient with an incapacitating systemic disease that is a constant threat to life
A5	Moribund patient who is not expected to survive for 24 hours with or without operation

3.2.4 Duration of operation

The table below shows the 75th percentile cut-off values for the selected NHSN procedures. In case of a reintervention within 72h after the primary procedure, the duration of the reintervention needs to be added to the duration of the primary procedure.

Cut-off values for duration of operative procedure categories

Category	Description	75th percentile cut-off value, in hours
CABG	Coronary artery bypass graft, unspecified	5
CBGB	Coronary artery bypass graft with both chest and donor site incisions: chest procedure to perform direct revascularisation of the heart; includes obtaining suitable vein from donor site for grafting	5
CBGC	Coronary artery bypass graft with chest incision only: chest procedure to perform direct vascularisation of the heart using, for example, the internal mammary artery.	4
CHOL	Cholecystectomy: removal of gallbladder; includes procedures performed using the laparoscope	2
COLO	Colon surgery: incision, resection or anastomosis of the large bowel; includes large-to- small and small-to-large bowel anastomosis	3
CSEC	Caesarean section	1
HPRO	Arthroplasty of hip	2
KPRO	Arthroplasty of knee	2
LAM	Laminectomy: exploration or decompression of spinal cord through excision or incision into vertebral structures.	2

3.3 Definitions of other variables and codes used for surveillance of surgical site infections

Country code: ISO codes (International Organization for Standardization ISO 3166-1-alpha-2-c0de elements): AT = Austria, BE = Belgium, BG = Bulgaria, CY = Cyprus, CZ = Czech Republic, DE = Germany, DK = Denmark, EE = Estonia, ES = Spain, FI = Finland, FR = France, GB = Great Britain, GR = Greece, HU = Hungary, IE = Ireland, IT = Italy, IS = Iceland, LI = Liechtenstein, LV = Latvia, LT = Lithuania, LU = Luxembourg, MT = Malta, NL = Netherlands, NO = Norway, PL = Poland, PT = Portugal, RO = Romania, SK = Slovakia, SI = Slovenia, SE = Sweden.

Network code: Unique identifier for each network – Member State (MS) selected and generated. Code can be omitted, if the hospital identifiers are unique within the reporting country, but should be combined with HospitalId if same codes are used across different subnetworks that are reported through by single DateSource (e.g. data from five regional CCLIN networks reported as one database by France).

Subject: HAISSI

Hospital ID: The code provided by national institution, which is responsible for national surveillance.

Hospital Size: Total number of beds in the hospital or rounded to the closest 100 beds.

Hospital Type: PRIM = Primary level, SEC = Secondary level, TERT = Tertiary level, SPEC = Specialist/Other, UNK = Unknown

- Primary:
 - often referred to as 'district hospital' or 'first-level referral';
 - often corresponds to a general hospital without teaching function;
 - few specialities (mainly internal medicine, obstetrics-gynaecology, paediatrics, general surgery or only general practice);
 - limited laboratory services are available for general, but not for specialised pathological analysis.
- Secondary
 - often referred to as 'provincial hospital';
 - often corresponds to general hospital with teaching function;
 - highly differentiated hospital by function with five to 10 clinical specialities, such as haematology, oncology, nephrology, ICU;
 - takes some referrals from other (primary) hospitals.
- Tertiary:
 - often referred to as 'central', 'regional' or 'tertiary-level' hospital;
 - often corresponds to University hospital;
 - highly specialised staff and technical equipment (ICU, haematology, transplantation, cardio-thoracic surgery, neurosurgery);
 - clinical services are highly differentiated by function;
 - specialised imaging units;
 - provides regional services and regularly takes referrals from other (primary and secondary) hospitals.
- Specialised hospital:
 - single clinical specialty, possibly with sub-specialties;
 - highly specialised staff and technical equipment;
 - e.g. paediatric hospital, infectious diseases hospital.

Post-discharge Surveillance Method:

- READM = Detection at readmission (=passive post-discharge surveillance): patient is readmitted with surgical site infection, often because of the SSI.
- REPSURG = Reporting on surgeon's initiative: surgeon actively reports post-discharge infections detected at outpatient clinic or private clinic follow-up to the hospital surveillance staff, e.g. using standardised forms, web-based system, e-mail or telephone.
- REPGP = Reporting on GP's initiative: general practitioner (GP) reports post-discharge infections detected at follow-up consultation to the hospital surveillance staff, e.g. using standardised forms, web-based system, e-mail or telephone.
- REPPAT = Reporting on patient's initiative: e.g. form send to hospital surveillance staff.
- ICSURG = Obtained by IC staff from surgeon: the hospital surveillance staff usually infection control (IC) staff obtains information from surgeon using telephone, additional questionnaire, visit to surgeon or patient chart review.
- ICGP = Obtained by IC staff from GP: hospital surveillance staff obtains information from general practitioner using telephone, additional questionnaire or visit.

• ICPAT = Obtained by IC staff from patient: hospital surveillance staff obtains information from patient using telephone or additional questionnaire.

- NONE = No post-discharge surveillance done.
- UNK = Unknown, no data about post-discharge surveillance method available.

Unit ID: Unique identifier for each surgical unit – MS selected and generated.

Surgical Unit Specialty: CA = General/abdominal surgery, CC = Cardiovascular surgery, CM = Mixed surgical/medical, CN = Neurosurgery, CO = Orthopaedic surgery, TR = Traumatology, GY = Gynaecology, OTH = Other surgical specialty, UNK = Unknown

Post-discharge method: READM = Detection at readmission, REPSURG = Reporting on surgeon's initiative, REPGP = Reporting on GP's initiative, REPPAT = Reporting on patient's initiative, ICSURG = Obtained by IC staff from surgeon, ICGP = Obtained by IC staff from GP, ICPAT = Obtained by IC staff from patient, NONE = No post-discharge surveillance done, UNK = Unknown.

Operation ID: Unique identifier for each operation – Hospital selected and generated.

Hospital Location: Region as NUTS-1 code where hospital is located: see Annex 1.

Age at date of operation in years: Age correspondents to the age of the patient at date of operation.

Gender: The gender of the patient who undergoes the operation: M = Male, F = Female, O = Transsexual, UNK = Unknown.

Outcome from hospital: Patient status at hospital discharge or at end of follow-up in hospital.

Date of operation: Date operation under surveillance was carried out (YYYY-MM-DD).

Date of hospital admission: Date patient was admitted to hospital in order to undergo the operation under surveillance (YYYY-MM-DD).

Date of discharge: Date the patient was discharged from hospital where they underwent the operation under surveillance or date of in-hospital death or date of last follow-up in hospital. This date is used to calculate the number of post-operative in-hospital patient days.

Date of last follow-up post-discharge: Date last information on the patient was obtained after discharge from hospital, for example from surgeon (out-patient department or private practice) or general practitioner. This date is used to calculate the total amount of follow-up days (in-hospital and post-discharge) (YYYY-MM-DD).

Operation code:

CBGB = coronary artery bypass grafting with both chest and donor site incisions

CBGC = coronary artery bypass grafting with chest incision only

CABG = coronary artery bypass grafting, not specified

COLO = colon surgery

CHOL = cholecystectomy

CSEC = caesarean section

HPRO = hip prosthesis

KPRO = knee prosthesis

LAM = laminectomy

Operation ICD-9-CM code: (see Annex 2)

Endoscopic procedure: Yes = only if the entire operation was performed using an endoscopic/laparoscopic approach.

Wound contamination class: The wound contamination class as described:

W1= Clean

W2 = Clean-contaminated

W3 = Contaminated

W4 = Dirty or infected

UNK = Unknown

Duration of operation in minutes: Duration of operation (in minutes) from skin incision to skin closure. In case of reintervention within 72h after the primary procedure, the duration of the reintervention is added to the duration of the primary procedure.

Urgent operation: Planning time of the operation. 'Yes' means urgent operation that was not planned at least 24 hours in advance. 'No' means elective operation that was planned at least 24 hours in advance.

Y =Yes (urgent)

N = No (elective)

UNK = Unknown

ASA classification: Physical status classification developed by the American Society of Anesthesiology at operation time.

A1 = Normally healthy patient

A2 = Patient with mild systemic disease

A3 = Patient with severe systemic disease that is not incapacitating

A4 = Patient with an incapacitating systemic disease that is a constant threat to life

A5 = Moribund patient who is not expected to survive for 24 hours with or without operation

UNK = Unknown

Antibiotic prophylaxis: Perioperative systemic administration of antimicrobial agent(s) at or within two hours prior to primary skin incision with the aim of preventing sepsis in the operative site.

Y = Yes (patient received surgical antibiotic prophylaxis)

N= No (patient did not receive surgical antibiotic prophylaxis)

UNK = Unknown

Surgical Site Infection: Presence of a surgical site infection for this operation (see section 3.1). For CBGB only chest wound infections are to be reported.

Date of Infection: Date when the first clinical evidence of SSI appeared or the date the specimen used to make or confirm the diagnosis was collected, whichever comes first (YYYY-MM-DD).

Type of Infection: Type of infection (see section 3.1).

S = Superficial incisional

D = Deep incisional

O = Organ/space

UNK = Unknown

Isolate result: Microorganism or reason why not available (see Annex 3 for the microorganism code list).

Antibiotic code and resistance data: Codes 0, 1, 2 or 9 must be filled out according to microorganism resistance. More details in Annex 5.

4 Indicators to be produced at the European level on the occurrence and characteristics of surgical site infections

For each procedure under surveillance and for each level of the NHSN risk index, the EU database will produce the rates of surgical site infections (superficial, deep, organ-space, total), as a percentage of the number of interventions and as an incidence density (number of SSI with onset before hospital discharge per 1 000 patient days in the hospital).

4.1 Cumulative incidence of SSI by category

The first indicator (% SSI) gives the most complete picture for a given operative procedure, but is highly dependent on the intensity of post-discharge surveillance, which varies considerably between hospitals and between countries.

 $\begin{array}{c} \text{Cumulative incidence} \\ \text{(by category)} \end{array} = \quad \begin{array}{c} \text{all first SSI* in that category x 100} \\ \text{all operations in that category} \end{array}$

4.2 Cumulative incidence of SSI excluding post-discharge diagnosed SSI

The second indicator only considers infections detected in the hospital (post-discharge diagnosed SSI are excluded). It corrects differences between in post-discharge surveillance between hospitals and countries, but provides an incomplete epidemiological picture and is not adjusted for differences in length of post-operative stay.

Cumulative incidence excluding post-discharge (by category) = all first **in-hospital** SSI in that category x 100 all operations with known discharge date in that category

- Step 1. Delete/exclude all operations (with or without SSI) where DateOfHospitalDischarge is unknown.
- **Step 2.** Exclude from numerator (not from denominator!) all SSI where DateOfOnset > DateOfHospitalDischarge (= consider these records as having NO SurgicalSiteInfection).
- Step 3. Apply 30d/1year rule on (in-hospital) SSI.

4.3 In-hospital SSI incidence density

The third indicator (number of in-hospital SSI/1 000 patient days in the hospital) only considers infections detected in the hospital and therefore it does not reflect the complete epidemiological picture, e.g. in procedures with short post-operative hospital stay. However, it is independent of post-discharge surveillance and corrects for differences in post-operative hospital stay, and therefore this indicator may be more reliable for inter-hospital or inter-network comparisons.

Incidence density in-hospital SSI (by category) = all **in-hospital** SSI in that category x 1000 in-hospital postoperative patient days with known discharge date in that category

- Step 1. Delete/exclude all operations (with or without SSI) where DateOfHospitalDischarge is unknown.
- **Step 2.** Calculate in-hospital postoperative patient days as Sum of (DateOfHospitalDischarge-DateOfOperation+1).
- Step 3. Apply 30d/1year rule on (in-hospital) SSI.

^{*}SSI are included, if {DateOfOnset}-{DateOfOperation}+1 ≤31 or ≤366 days for HPRO and KPRO.

^{*}SSI are included, if {DateOfOnset}-{DateOfOperation}+1 ≤31 or ≤366 days for HPRO and KPRO.

^{*}DateOfOnset-DateOfOperation+1 ≤31 or ≤366 days for HPRO and KPRO.

5 Data collection

5.1 Population under surveillance

All data from participating hospitals (or specific wards within a hospital) that perform procedures included in the European protocol are eligible for inclusion. A minimum period of three months of collection of data on surgical site infections in the participating hospitals is recommended for both standard and light protocols.

5.2 Type of surgery under surveillance

In order to obtain sufficient numbers of records allowing statistically valid conclusions, the diversity of operations to be recorded is limited and focuses on relatively frequently registered procedures that are likely to be interpreted similarly in different settings.

The following table offers a selection of operations from which the participating centres may chose. At a later stage this list can be modified at the demand of participants (also see Annex 2 for ICD-9-CM code list). All ICD-9-CM codes are available on the website http://www.findacode.com/home.php.

Selected type of surgical procedures for surveillance

NHSN	Description	ICD-9-CM*
category		Codes included in the category
COLO	Colon surgery Incision, resection or anastomosis of the large bowel; includes large-to-small and small-to-large bowel anastomosis Laparoscopic excision of large intestine Enterotomy Intestinal anastomosis Abdominoperineal resection of rectum Transsacral rectosigmoidectomy	17.3–17.39, 45.00–45.03,45.15, 45.26, 45.31–45.34, 45.4, 45.41, 45.49, 45.50–45.52, 45.61– 45.63, 45.7–45.95, 46.0, 46.03, 46.04, 46.1–46.14,46.20–46.24, 46.31, 46.39, 46.4, 46.41, 46.43, 45.5, 46.51, 46.52, 46.7–46.76, 46.9–46.94, 48.25,48.35, 48.40, 48.42, 48.43, 48.49, 48.5–48.59, 48.6–48.69, 48.74
CHOL	Cholecystectomy Removal of gallbladder, includes procedures performed using the laparascope	51.0,51.03, 51.04,51.13, 51.2–51.24
HPRO	Arthroplasty of hip	00.70-00.73, 81.51-81.53
KPRO	Arthroplasty of knee	00.80-00.84, 81.54-81.55
LAM	Laminectomy Exploration or decompression of spinal cord through excision or incision into vertebral structures	03.0–03.09, 80.50, 80.51, 80.53, 80.54, 80.59, 84.60–84.69, 84.80–84.85
CSEC	Caesarean section	74.0–74.2, 74.4, 74.9–74.99
CABG	Coronary artery bypass, unspecified	36.1–36.2
CBGB	Coronary artery bypass grafting with both chest and donor site incisions Chest procedure to perform direct revascularisation of the heart; includes obtaining suitable vein from donor site for grafting	36.10–36.14, 36.19
CBGC	Coronary artery bypass grafting with chest incision only Chest procedure to perform direct vascularisation of the heart using, for example, the internal mammary artery	36.15–36.17, 36.2

^{*}ICD-9-CM Procedure Codes ver. 2001

5.3 Levels of data requirement

In ECDC's TESSy system, variables are classified according to three levels of requirement:

- Required true (error): data will be rejected if this variable is missing (previously called mandatory (M))
- **Required true (warning):** variables are required for the correct interpretation of the results and/or for routine analysis, a warning will be produced if this variable is missing (previously called required (**R**))
- Required false: no error if data is missing, previously called optional (O), data used for additional analysis

5.4 Hierarchy of datasets

The set of variables for **HAI-Net-SSI reporting** (*RecordType* '*HAISSI'*) consists of eight technical variables and 43 epidemiological variables. Technical variables are only relevant at the surveillance network coordination.

HAI-Net-SSI standard protocol dataset contains four levels:

- 1. The first level 'HAISSI' includes data referring to the hospital/unit that are repeated in all records reporting the operation data, infection data and microorganisms and resistance data.
- 2. Second level 'HAISSI\$OP' includes variables about patient, operation and risk factors.
- 3. Third level 'HAISSI\$OP\$INF' includes variables about surgical site infections.
- 4. Fourth level 'HAISSI\$OP\$INF\$RES' includes variables about pathogens and their resistance.

HAI-Net-SSI light protocol dataset contains four levels:

- 1. The first level 'HAISSILIGHT' includes data referring to the hospital/unit that are repeated in all records reporting the operation data, infection data and microorganisms and resistance data.
- 2. Second level 'HAISSILIGHT\$OPCAT' includes variables about operations.
- 3. Third level 'HAISSILIGHT\$OPCAT\$INF' includes variables about surgical site infections and operations.
- 4. Fourth level 'HAISSILIGHT\$OPCAT\$INF\$RES' includes variables about pathogens and their resistance.

HAISSICOVERAGE contains variables about different types of operation and their national denominators.

5.5 Technical variables

Variable name (Transport label)	Description	Value list	Required
Record ID (RecordId)	Unique identifier for the hospital (and, optionally, the surgical unit) within each Network. Recommended format: [Network ID]- [HospitalId]-[UnitId]- [DateUsedForStatistics]		True (Error)
Record type (RecordType)	Structure and format of the data (case based reporting and aggregate reporting).		True (Error)
Record type version (RecordTypeVersion)	There may be more than one version of a record type. This element indicates which version the sender uses when generating the message. Required when no metadata set is provided at upload		False
Subject (Subject)	Disease to report		True (Error)
Data source (DataSource)	The data source (surveillance system) that the record originates from	[List of data sources]	True (Error)
Reporting country (ReportingCountry)	The country reporting the record	[List of countries]	True (Error)
Date used for statistics (DateUsedForStatistics)	Year covered	YYYY	True (Error)
Status (Status)	Status of reporting NEW/UPDATE or DELETE (deactivate). Default if left out: NEW/UPDATE. If set to DELETE, the record with the given recordId will be deleted from the TESSy database (or better stated, invalidated). If set to NEW/UPDATE or left empty, the record is newly entered into the database	NEW/UPDATE DELETE	No
Network ID (NetworkId)	Unique identifier for each network – MS selected and generated. Can be omitted if the hospital identifiers are unique within the reporting country		No

6 Standard protocol

6.1 Hospital and unit data (first level)

The first level (RecordType 'HAISSI') includes data referring to the hospital/unit that are valid for all related records about operation data, infection data and microorganisms and resistance data.

Information at this level should be collected once a year and are used for stratification of reference data.

Variable name	Description	Value list	Required
(Transport label)			
Hospital ID (HospitalId)	Unique identifier for each hospital – MS selected and generated, should remain identical in different surveillance periods/years		True (Error)
Hospital size (HospitalSize)	Number of beds in the hospital or rounded down to the closest 100 beds	min: 0, max: 9999, UNK	True (Warning)
Hospital type (HospitalType)	Type of hospital (see section 3.3)	PRIM = Primary level (district hospital or first-level referral) SEC = Secondary level (provincial hospital) TERT = Tertiary level (regional or tertiary-level hospital) SPEC = Specialist/Other UNK = Unknown	No
Region where hospital is located. (HospitalLocation)	Region as NUTS-1 code where hospital is located	See annex 1: NUTS-1 codes	No
Unit ID (UnitId)	Unique identifier for each surgical unit – MS selected and generated		No
Unit specialty (UnitSpecialty)	Specialty of unit	CA = General/abdominal surgery CC = Cardiovascular surgery CM = Mixed surgical/medical CN = Neurosurgery CO = Orthopaedic surgery TR = Traumatology GY = Gynaecology OTH = Other surgical specialty UNK = Unknown	No
Method used for post-discharge surveillance (PostDischargeMethod)	Method used for post-discharge surveillance of surgical site infections (see section 3.3)	READM = Detection at readmission REPSURG = Reporting on surgeon's initiative REPGP = Reporting on GP's initiative REPPAT = Reporting on patient's initiative ICSURG = Obtained by IC staff from surgeon ICGP = Obtained by IC staff from GP ICPAT = Obtained by IC staff from patient NONE = No post-discharge surveillance done UNK = Unknown	No

6.2 Patient, operation and risk factors data (second level)

The second level (RecordType 'HAISSI\$OP') includes variables about patient, operation and risk factors.

Variable name (Transport label)	Description	Value list	Required
Patient information			
Age (Age)	Age corresponds to the age of the patient at date of operation	Num (0–120), UNK	True (Warning)
Gender (Gender)	Common variable. In SSI: the gender of the patient who undergoes the operation. Transsexual should be coded as O = Other	M = Male F = Female O = Other UNK = Unknown	True (Warning)
Outcome from hospital (OutcomeHospital)	Patient status at hospital discharge or at end of follow-up in hospital	A = Alive D = Dead in hospital UNK = Unknown	True (Warning)
Operation information			
Operation ID (OperationId)	Unique identifier for each operation – Hospital selected and generated		True (Error)
Patient counter (PatientCounter)	Numeric code for each patient, unique within hospital. Anonymous code assigned by hospital to specify patient		No
Date of operation (DateOfOperation)	Date operation under surveillance was carried out	Date (YYYY-MM-DD)	True (Error)
Date of hospital admission (DateOfHospitalAdmission)	Date patient was admitted to hospital in order to undergo the operation under surveillance	Date (YYYY-MM-DD), UNK	True (Warning)
Date of hospital discharge (DateOfHospitalDischarge)	Date the patient was discharged from hospital where they underwent the operation under surveillance or date of inhospital death or date of last follow-up in hospital if discharge date is unknown. This date is used to calculate the number of post-operative in-hospital patient days	Date (YYYY-MM-DD), UNK	True (Warning)
Date of last follow-up post- discharge (DateOfLastFollowup)	Date last information on the patient was obtained <u>after</u> discharge from hospital, for example from surgeon (out-patient department or private practice) or general practitioner. This date is used to calculate the total amount of follow-up days (inhospital and post-discharge)	Date (YYYY-MM-DD), UNK, NA	False
Operation code (OPCode)	NHSN (National Healthcare Safety Network) code of the primary operative procedure under surveillance according to SSI surveillance protocol	CBGB = coronary artery bypass grafting with both chest and donor site incisions CBGC = coronary artery bypass grafting with chest incision only CABG = coronary artery bypass grafting, not specified COLO = colon surgery CHOL = cholecystectomy CSEC = caesarean section HPRO = hip prosthesis KPRO = knee prosthesis LAM = laminectomy	True (Error)
ICD-9-CM code (ICD9CMCode)	ICD-9-CM code of the primary operative procedure under surveillance according to SSI surveillance protocol. Use 4-digit code or 3-digit code if 4-digit code not available	See Annex 2	False
Endoscopic procedure (EndoscopicProc)	Enter 'Yes' only if the entire operation was performed using an endoscopic/laparoscopic approach	Y = Yes N = No UNK = Unknown	True (Warning)
Wound contamination class (WoundClass)	The wound contamination class as described in the surveillance protocol	W1 = Clean W2 = Clean-contaminated W3 = Contaminated W4 = Dirty or infected UNK = Unknown	True (Warning)

Variable name (Transport label)	Description	Value list	Required
Duration of operation (OperationDur)	Duration of operation (in minutes) from skin incision to skin closure. In case of reintervention within 72h after the primary procedure, the duration of the reintervention is added to the duration of the primary procedure	Num (0–998), UNK	True (Warning)
Urgent operation (UrgentOperation)	Planning time of the operation. 'Yes' means urgent operation that was not planned at least 24 hours in advance. 'No' means elective operation that was planned at least 24 hours in advance	Y = Yes (Urgent) N = No (Elective) UNK = Unknown	True (Warning)
ASA classification (ASAClassification)	Physical status classification developed by the American Society of Anesthesiology at operation time	A1 = Normally healthy patient A2 = Patient with mild systemic disease A3 = Patient with severe systemic disease that is not incapacitating A4 = Patient with an incapacitating systemic disease that is a constant threat to life A5 = Moribund patient who is not expected to survive for 24 hours with or without operation UNK = Unknown	True (Warning)
Patient received surgical prophylaxis (Prophylaxis)	Perioperative systemic administration of antimicrobial agent(s) at or within two hours prior to primary skin incision with the aim of preventing sepsis in the operative site. In case of caesarean section, after clamping of umbilical cord	Y = Yes N = No UNK = Unknown	False
Surgical site infection (SurgicalSiteInfection)	Presence of a surgical site infection for this operation. For CBGB, only chest wound infections are to be reported	Y = Yes N = No	True (Error)

6.3 Infection data (third level)

The third level (RecordType 'HAISSI\$OP\$INF') includes variables about type of infections and date of onset.

Variable name (Transport label)	Description	Value list	Required
Date of infection onset (DateOfOnset)	Date when the first clinical evidence of SSI appeared or the date the specimen used to make or confirm the diagnosis was collected, whichever comes first	Date (YYYY-MM-DD), UNK	True (Error)
Type of infection (SSIType)	Type of infection	S = Superficial incisional D = Deep incisional O = Organ/space UNK = unknown	True (Error)

6.4 Microorganisms and antimicrobial resistance data (fourth level)

The fourth level (RecordType 'HAISSI\$OP\$INF\$RES') includes variables about isolated microorganisms and antimicrobial resistance. Please see Annex 5 for AMR markers adjusted to the European PPS protocol.

Variable name (Transport label)	Description	Value list	Required
Isolate result (ResultIsolate)	Microorganism or reason why not available	See Annex 3	True (Error)
Antibiotic code (Antibiotic)	Antibiotic code tested for susceptibility	See Annex 6	True (Warning)
SIR (SIR)	Final interpretation result of all different susceptibility tests performed	S = Susceptible I = Intermediate R = Resistant UNK = Unknown NA = Not applicable	True (Warning)

7 Light protocol

7.1 Hospital and unit data (first level)

The first level in the light protocol (RecordType 'HAISSILIGHT') includes the same data as in the standard protocol.

Variable name	Description	Value list	Required
(Transport label)			
Hospital ID (HospitalId)	Unique identifier for each hospital – MS selected and generated, should remain identical in different surveillance periods/years		True (Error)
Hospital size (HospitalSize)	Number of beds in the hospital or rounded down to the closest 100 beds	min: 0, max: 9999, UNK	True (Warning)
Hospital type (HospitalType)	Type of hospital	PRIM = Primary level (district hospital or first-level referral) SEC = Secondary level (provincial hospital) TERT = Tertiary level (regional or tertiary-level hospital) SPEC = Specialist/Other UNK = Unknown	No
Region where hospital is located (HospitalLocation)	Region as NUTS-1 code where hospital is located	See annex 1: NUTS-1 codes	No
Unit ID (UnitId)	Unique identifier for each surgical unit – MS selected and generated		No
Unit specialty (UnitSpecialty)	Specialty of unit	CA = General/abdominal surgery CC = Cardiovascular surgery CM = Mixed surgical/medical CN = Neurosurgery CO = Orthopaedic surgery TR = Traumatology GY = Gynaecology OTH = Other surgical specialty UNK = Unknown	No
Method used for post-discharge surveillance (PostDischargeMethod)	Method used for post-discharge surveillance of surgical site infections (see section 3.3)	READM = Detection at readmission REPSURG = Reporting on surgeon's initiative REPGP = Reporting on GP's initiative REPPAT = Reporting on patient's initiative ICSURG = Obtained by IC staff from surgeon ICGP = Obtained by IC staff from GP ICPAT = Obtained by IC staff from patient NONE = No post-discharge surveillance done UNK = Unknown	No

7.2 Aggregated operation category denominator data (second level)

The second level (RecordType `HAISSILIGHT\$OPCAT') includes denominator data and variables about operation category.

Variable name (Transport label)	Description	Value list	Required
Unit information			
Start date of the time period covered by this denominator entry (PeriodStart)	Start date of the time period covered by this denominator entry	Date (YYYY-MM-DD)	True (Error)
End date of the time period covered by this denominator entry (PeriodEnd)	End date of the time period covered by this denominator entry	Date (YYYY-MM-DD)	True (Error)
Operation code (OPCode)	NHSN (National Healthcare Safety Network) code of the primary operative procedure under surveillance according to SSI surveillance protocol	CBGB = coronary artery bypass grafting with both chest and donor site incisions CBGC = coronary artery bypass grafting with chest incision only CABG = coronary artery bypass grafting, not specified COLO = colon surgery CHOL = cholecystectomy CSEC = caesarean section HPRO = hip prosthesis KPRO = knee prosthesis LAM = laminectomy	True (Error)
ICD-9-CM code (ICD9CMCode)	ICD-9-CM code of the primary operative procedure under surveillance according to SSI surveillance protocol. Use 4-digit code or 3-digit code if 4-digit code not available	See Annex 2	False
Number of operations (NumOperations)	Number of operations for this operation code and ICD-9 code (if given)		True (Error)
Number of operations with known discharge date (NumOperationsDisDate)	Number of operations for this operation code and ICD-9 code (if given) with known discharge date		True (Warning)
Number of post-operation hospital patient days (NumPatDaysHosp)	Number of post-operation hospital patient days. Definition: the sum of patient days in the hospital following the operation (discharge date – operation date + 1) according to operation code and ICD-9 (if given)		True (Warning)

Surveillance period started: Start date of the time period covered by this denominator entry.

Surveillance period ended: End date of the time period covered by this denominator entry.

Number of operations: Number of surgical procedures in the category of operations during the survey period.

Number of postoperative patient days: Number of post-operation hospital patient days. Definition: the sum of patient days in the hospital following the operation (discharge date – operation date + 1) according to operation code and ICD-9 (if given).

7.3 Infection data (third level)

The third level (RecordType 'HAISSILIGHT\$OPCAT\$INF') includes variables about surgical site infections, adding some basic patient/operation variables in comparison to the infection data in the standard protocol.

/ariable name Description Transport label)		Value list	Required
Patient information			
Age (Age)	Age corresponds to the age of the patient at date of operation	Num (0–120), UNK	True (Warning)
Gender (Gender)	Common variable. In SSI: the gender of the patient who undergoes the operation! Transsexual should be coded as O = Other	M = Male F = Female O = Other UNK = Unknown	True (Warning)
Outcome from hospital (OutcomeHospital)	Patient status at hospital discharge or at end of follow-up in hospital	A = Alive D = Dead in hospital UNK = Unknown	True (Warning)
Infection information			
Operation ID (OperationId)	Unique identifier for each operation – Hospital selected and generated		True (Error)
Date of infection (DateOfOnset)	Date of infection onset. If not known, give an estimate to the best of your knowledge	Date (YYYY-MM-DD), UNK	True (Error)
Type of infection (SSIType)	Type of infection	S = Superficial incisional D = Deep incisional O = Organ/space UNK = Unknown	True (Error)
Date of operation (DateOfOperation)	Date operation under surveillance was carried out	Date (YYYY-MM-DD)	True (Warning)
Date of discharge from hospital (DateOfDischarge)	Date the patient was discharged from hospital where they underwent the operation under surveillance or date of inhospital death or date of last follow-up inhospital if discharge date is unknown	Date (YYYY-MM-DD)	True (Warning)

7.4 Microorganisms and antimicrobial resistance data (fourth level)

The fourth level (RecordType 'HAISSILIGHT\$OPCAT\$INF\$RES') includes the same variables as standard protocol about microorganisms and antimicrobial resistance (AMR). Please see Annex 5 for AMR markers adjusted to the European PPS protocol.

Variable name (Transport label)	Description	Value list	Required
Isolate result (ResultIsolate)	Microorganism or reason why not available	See Annex 3	True (Error)
Antibiotic code (Antibiotic)	Antibiotic code tested for susceptibility	See Annex 6	True (Warning)
SIR (SIR)	Final interpretation result of all different susceptibility tests performed	S = Susceptible I = Intermediate R = Resistant UNK = Unknown NA = Not applicable	True (Warning)

8 HAISSICOVERAGE dataset

The HAISSICOVERAGE dataset/file was introduced to collect the total numbers of operations carried out at the national level per year (for the reported surveillance year). These data should only be reported for surgical procedures included in the national/regional surveillance and will be used for the calculation of the surveillance coverage of the operative categories included in the surveillance.

Variable name (Transport label)	Description	Value list	Required
Number of operations for coronary artery bypass grafting (NoOfOperationsCABG)	Total number of operations for coronary artery bypass grafting for the complete network or the Member State if only one network for the year		True (Warning)
Number of operations for colon surgery (NoOfOperationsCOLO)	Total number of operations for colon surgery for the complete network or the Member State if only one network for the year		True (Warning)
Number of operations for cholecystectomy (NoOfOperationsCHOL)	Total number of operations for cholecystectomy for the complete network or the Member State if only one network for the year		True (Warning)
Number of operations for caesarean section (NoOfOperationsCSEC)	Total number of operations for caesarean section for the complete network or the Member State if only one network for the year		True (Warning)
Number of operations for hip prosthesis (NoOfOperationsHPRO)	Total number of operations for hip prosthesis for the complete network or the Member State if only one network for the year		True (Warning)
Number of operations for knee prosthesis (NoOfOperationsKPRO)	Total number of operations for knee prosthesis for the complete network or the Member State if only one network for the year		True (Warning)
Number of operations for laminectomy (NoOfOperationsLAM)	Total number of operations for laminectomy for the complete network or the Member State if only one network for the year		True (Warning)

9 Confidentiality

9.1 Patient confidentiality

It will not be possible to identify individual patients in the European database on SSI by coding patient information only at the hospital level or at the level of the official networks in the countries. However, for validation purposes, the hospitals should be able to trace back patients based on the anonymous unique operative procedure ID.

9.2 Hospital and unit confidentiality

Individual hospitals will not be identifiable in the European database on SSI by coding hospital information at the hospital level or at the level of the official networks in the countries. When presenting the results of the European SSI surveillance, it has to be secured that no individual hospital can be recognised.

9.3 Publication policy

The data will be published in ECDC's Annual Epidemiological Reports and in a disease-specific report on HAI surveillance, in interactive tables on the internet and in scientific publications. Official networks in the countries have to provide written consent with any publication before publication. In any publication reference will be made to the official networks in the countries, including their acronym and contact information, if desired by the networks.

References

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- [9] Owens WD, Felts JA, Spitznagel EL. ASA physical status classification: a study of consistency of ratings. Anesthesiology 1978;49(4):239-43

Annex 1: NUTS-1 codes (hospital location)

Source: http://epp.eurostat.ec.europa.eu/portal/page/portal/eurostat/home/

Level		Code	NUTS-Code
1 2	250		NUTS LEVEL 1
2 2	275	BE	BELGIQUE-BELGIË
	280	BE1	RÉGION DE BRUXELLES-CAPITALE / BRUSSELS HOOFDSTEDELIJK GEWEST
	290	BE2	VĻAAMS GEWEST
	300	BE3	RÉGION WALLONNE
	310	BEZ	EXTRA-REGIO
	315	BG	БЪЛГАРИЯ / BULGARIA
	320	BG3	СЕВЕРНА И ЮГОИЗТОЧНА БЪЛГАРИЯ / SEVERNA I IZTOCHNA BULGARIA
3 3	30	BG4	ЮГОЗАПАДНА И ЮЖНА ЦЕНТРАЛНА БЪЛГАРИЯ / YUGOZAPADNA I YUZHNA TSENTRALNA
			BULGARIA
	340	BGZ	EXTRA-REGIO
	345	CZ	ČESKĄ REPUBLIKA
	350	CZ0	ČESKÁ REPUBLIKA
	360	CZZ	EXTRA-REGIO
	365	DK	DANMARK
	370	DK0	DANMARK
	880	DKZ	EXTRA-REGIO
	385	DE	DEUTSCHLAND
	390	DE1	BADEN-WÜRTTEMBERG
	100	DE2	BAYERN
	110	DE3	BERLIN
	120	DE4	BRANDENBURG
	130	DE5	BREMEN
	140	DE6	HAMBURG
	150	DE7	HESSEN
	160	DE8	MECKLENBURG-VORPOMMERN
	170	DE9	NIEDERSACHSEN
	180	DEA	NORDRHEIN-WESTFALEN
	190	DEB	RHEINLAND-PFALZ
3 5	00	DEC	SAARLAND
	10	DED	SACHSEN ANHALT
	20	DEE	SACHSEN-ANHALT
	30	DEF	SCHLESWIG-HOLSTEIN
	540	DEG	THÜRINGEN
	550	DEZ	EXTRA-REGIO
	555 560	EE	EESTI
	570	EE0 EEZ	EESTI EXTRA-REGIO
	575	IE	IRELAND
	580	IEO	IRELAND
	590	IEZ	EXTRA-REGIO
	595	GR	EΛΛΑΔΑ / ELLADA
	500	GR1	BOPEIA ΕΛΛΑΔΑ / VOREIA ELLADA
	510	GR2	KENTPIKH ΕΛΛΑΔΑ / KENTRIKI ELLADA
	520	GR3	ATTIKH / ATTIKI
	530	GR4	NHΣΙΑ ΑΙΓΑΙΟΥ, KPHTH / NISIA AIGAIOU, KRITI
	540	GRZ	EXTRA-REGIO
	545	ES	ESPAÑA
	550	ES1	NOROESTE
	60	ES2	NORESTE
	570	ES3	COMUNIDAD DE MADRID
	80	ES4	CENTRO (E)
	590	ES5	ESTE
	' 00	ES6	SUR
	' 10	ES7	CANARIAS
	'20	ESZ	EXTRA-REGIO
2 7	'25	FR	FRANCE

3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	730 740 750 760 770 780 790 800 810 820 825 830 840 850 860 870 880 885 890 900 905 910 925 930 940 945 950 960 965 970	LVZ LT LT0 LTZ LU LU0 LUZ HU	NORD-EST CENTRO (I) SUD ISOLE EXTRA-REGIO KYΠΡΟΣ / KIBRIS KYΠΡΟΣ / KIBRIS EXTRA-REGIO LATVIJA LATVIJA EXTRA-REGIO LIETUVA LIETUVA EXTRA-REGIO LUXEMBOURG (GRAND-DUCHÉ) LUXEMBOURG (GRAND-DUCHÉ) EXTRA-REGIO MAGYARORSZÁG
2 3 3 3			Magyarország Közép-Magyarország Dunántúl
3 3 2 3	990 1000 1005	HU3 HUZ MT	EXTRA-REGIO MALTA
3 2 3	1010 1020 1025 1030	MT0 MTZ NL NL1	NEDERLAND
3 3 3	1040	NL2	OOST-NEDERLAND
	1050	NL3	WEST-NEDERLAND
	1060	NL4	ZUID-NEDERLAND
3	1070	NLZ	EXTRA-REGIO
2	1075	AT	ÖSTERREICH
3	1080	AT1	OSTÖSTERREICH
3 3 2	1090	AT2	SÜDÖSTERREICH
	1100	AT3	WESTÖSTERREICH
	1110	ATZ	EXTRA-REGIO
	1115	PL	POLSKA
3 2 3 3 3 3 2 3 3 3 3 3 3 3 2 3 3 3 3 2 3	1120	PL1	REGION CENTRALNY
	1130	PL2	REGION POŁUDNIOWY
	1140	PL3	REGION WSCHODNI
3 3 3	1150	PL4	REGION PÓŁNOCNO-ZACHODNI
	1160	PL5	REGION POŁUDNIOWO-ZACHODNI
	1170	PL6	REGION PÓŁNOCNY
	1180	PLZ	EXTRA-REGIO
2 3 3	1185	PT	PORTUGAL
	1190	PT1	CONTINENTE
	1200	PT2	Região Autónoma dos AÇORES
3 2	1210	PT3	Região Autónoma da MADEIRA
	1220	PTZ	EXTRA-REGIO
	1225	RO	ROMÂNIA
3	1230	RO1	Macroregiunea unu
	1240	RO2	Macroregiunea doi

3	1250	RO3	Macroregiunea trei
3	1260	RO4	Macroregiunea patru
3	1270	ROZ	EXTRA-REGIO
2	1275	SI	SLOVENIJA
2 3	1280	SI0	SLOVENIJA
3	1290	SIZ	EXTRA-REGIO
2	1295	SK	SLOVENSKÁ REPUBLIKA
3	1300	SK0	SLOVENSKÁ REPUBLIKA
3 3	1310	SKZ	EXTRA-REGIO
	1315	FI	SUOMI / FINLAND
2 3 3	1320	FI1	MANNER-SUOMI
3	1330	FI2	ÅLAND
3	1340	FIZ	EXTRA-REGIO
2	1345	SE	SVERIGE
3	1350	SE1	Östra Sverige
3 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	1360	SE2	Södra Sverige
3	1370	SE3	Norra Sverige
3	1380	SEZ	EXTRA-REGIO
2	1385	UK	UNITED KINGDOM
3	1390	UKC	NORTH EAST (ENGLAND)
3	1400	UKD	NORTH WEST (ENGLAND)
3	1410	UKE	YORKSHIRE AND THE HUMBER
3	1420	UKF	EAST MIDLANDS (ENGLAND)
3	1430	UKG	WEST MIDLANDS (ENGLAND)
3	1440	UKH	EAST OF ENGLAND
3	1450	UKI	LONDON
3	1460	UKJ	SOUTH EAST (ENGLAND)
3	1470	UKK	SOUTH WEST (ENGLAND)
3	1480	UKL	WALES
3	1490	UKM	SCOTLAND
3	1500	UKN	NORTHERN IRELAND
3	1510	UKZ	EXTRA-REGIO

Annex 2: ICD-9-CM code list of surgical procedures

ICD 0 CM and a list of aversion was adverse for FIL	Other allowed answetien sedes included in NUCN
ICD-9-CM code list of surgical procedures for EU surveillance	Other allowed operation codes included in NHSN
COLO	COLO
45.0 = Enterotomy	17.3 = Laparoscopic partial excision of large intestine:
45.00 = Incision of intestine, not otherwise specified	17.31 = Laparoscopic multiple segmental resection of large
45.03 = Incision of large intestine	intestine
45.4 = Local excision or destruction of lesion or tissue of	17.32 = Laparoscopic cecectomy
large intestine	17.33 = Laparoscopic right hemicolectomy
$\overline{45.41}$ = Excision of lesion or tissue of large intestine	17.34 = Laparoscopic resection transverse colon
45.49 = Other destruction of lesion of large intestine	17.35 = Laparoscopic left hemicolectomy
45.5 = Isolation of intestinal segment	17.36 = Laparoscopic sigmoidectomy
45.50 = Isolation of intestinal segment, not otherwise	17.39 = Laparoscopic partial excision of large intestine
specified	45.26 = Open biopsy of large intestine
45.52 = Isolation of segment of large intestine	45.81 = Laparoscopic total intra-abdominal colectomy
45.7 = Partial excision of large intestine	45.82 = Open total intra-abdominal colectomy
45.71 = Multiple segmental resection of large intestine	45.83 = Other and unspecified total intra-abdominal
45.72 = Cecectomy	colectomy
45.73 = Right hemicolectomy 45.74 = Resection of transverse colon	SB
45.75 = Left hemicolectomy	45.01 = Incision of duodenum 45.02 = Other incision of small intestine
45.76 = Sigmoidectomy	45.15 = Open biopsy of small intestine
45.79 = Other partial excision of large intestine	45.31 = Other local excision of lesion of duodenum
45.8 = Total intra-abdominal colectomy	45.32 = Other destruction of lesion of duodenum
45.9 = Intestinal anastomosis	45.33 = Local excision of lesion or tissue of small intestine,
45.90 = Intestinal anastomosis, not otherwise specified	except duodenum
45.92 = Anastomosis of small intestine to rectal stump	45.34 = Other destruction of lesion of small intestine, except
45.93 = Other small-to-large intestinal anastomosis	duodenum
45.94 = Large-to-large intestinal anastomosis	45.51 = Isolation of segment of small intestine
45.95 = Anastomosis to anus	45.61 = Multiple segmental resection of small intestine
46.0 = Exteriorisation of intestine	45.62 = Other partial resection of small intestine
46.03 = Exteriorisation of large intestine	45.63 = Total removal of small intestine
46.04 = Resection of exteriorised segment of large	45.91 = Small-to-small intestinal anastomosis
intestine	46.01 = Exteriorisation of small intestine
46.1 = Colostomy	46.02 = Resection of exteriorised segment of small intestine
46.10 = Colostomy, not otherwise specified	46.20 = Ileostomy, not otherwise specified
46.11 = Temporary colostomy	46.21 = temporary ileostomy
46.13 = Permanent colostomy 46.14 = Delayed opening of colostomy	46.22 = Continent ileostomy 46.23 = Other permanent ileostomy
46.4 = Revision of intestinal stoma	46.24 = Delayed opening of ileostomy
46.43 = Other revision of stoma of large intestine	46.31 = Delayed opening of other ileostomy
46.5 = Closure of intestinal stoma	46.39 = Other enterostomy
46.52 = Closure of stoma of large intestine	46.41 = Revision of stoma of small intestine
46.7 = Other repair of intestine	46.51 = Closure of stoma of small intestine
46.75 = Suture of laceration of large intestine	46.71 = Suture of laceration of duodenum
46.76 = Closure of fistula of large intestine	46.72 = Closure of fistula of duodenum
46.9 = Other operations on intestines	46.73 = Suture of laceration of small intestine, except
46.91 = Myotomy of sigmoid colon	duodenum
46.92 = Myotomy of other parts of colon	46.74 = Closure of fistula of small intestine, except
46.94 = Revision of anastomosis of large intestine	duodenum
48.5 = Abdominoperineal resection of rectum (till 2008-10-	46.93 = Revision of anastomosis of small intestine
10)	REC
48.6 = Other resection of rectum	48.25 = Open biopsy of rectum
48.61 = Transsacral rectosigmoidectomy 48.62 = Anterior resection of rectum with synchronous	48.35 = Local excision of rectal lesion or tissue 48.40 = Pull-through resection of rectum, not otherwise
colostomy	specified
48.63 = Other anterior resection of rectum	48.42 = Laparoscopic pull-through resection of rectum
48.64 = Posterior resection of rectum	48.43 = Open pull-through resection of rectum
48.65 = Duhamel resection of rectum	48.49 = Other pull-through resection of rectum
48.69 = Other resection of rectum	48.50 = Abdominoperineal resection of rectum, not specified
	48.51 = Laparoscopic abdominoperineal resection of the
	rectum
	48.52 = Open abdominoperineal resection of the rectum
	48.59 = Other abdominoperineal resection of the rectum
	48.74 = Rectorectostomy

ICD-9-CM code list of surgical procedures for EU surveillance	Other allowed operation codes included in NHSN
HPRO 81.5 = Joint replacement of lower extremity 81.51 = Total hip replacement 81.52 = Partial hip replacement 81.53 = Revision of hip replacement	HPRO 00.70 = Revision of hip replacement, both acetabular and femoral components 00.71 = Revision of hip replacement, acetabular component 00.72 = Revision of hip replacement, femoral components 00.73 = Revision of hip replacement, acetabularliner and/or femoral head only 00.85 = Resurfacing hip, total acetabulum, and femoral head 00.86 = Resurfacing hip, partial, femoral head 00.87 = Resurfacing hip, partial, acetabulum
KPRO 00.80 = Revision of knee replacement, total (all components) 00.81 = Revision of knee replacement, tibial component 00.82 = Revision of knee replacement, femoral component 00.83 = Revision of knee replacement, patellar component 00.84 = Revision of knee replacement, tibial insert (liner) 81.54 = Total knee replacement 81.55 = Revision of knee replacement	KPRO <same></same>
LAM 03.0 = Exploration and decompression of spinal canal structures 03.01 = Removal of foreign body from spinal canal 03.02 = Reopening of laminectomy site 03.09 = Other exploration and decompression of spinal canal 80.5 = Excision or destruction of intervertebral disc 80.50 = Excision or destruction of intervertebral disc, unspecified 80.51 = Excision of intervertebral disc fibrosus 80.59 = Other destruction of intervertebral disc	LAM 80.53 = Repair of the anulus fibrosus with graft or prosthesis 80.54 = Other and unspecified repair of the anulus fibrosus 84.60 = Insertion of spinal disc prosthesis, not otherwise specified 84.61 = Insertion of partial spinal disc prosthesis, cervical 84.62 = Insertion of total spinal disc prosthesis, cervical 84.63 = Insertion of spinal disc prosthesis, thoracic 84.64 = Insertion of partial spinal disc prosthesis, lumbosacral 84.65 = Insertion of total spinal disc prosthesis, lumbosacral 84.66 = Revision or replacement of artificial spinal disc prosthesis, cervical 84.67 = Revision or replacement of artificial spinal disc prosthesis, thoracic 84.68 = Revision or replacement of artificial spinal disc prosthesis, lumbosacral 84.69 = Revision or replacement of artificial spinal disc prosthesis, not otherwise 84.80 = Insertion or replacement of interspinosus process device(s) 84.81 = Revision of interspinosus process device(s) 84.82 = Insertion or replacement of pedicle-based dynamic stabilisation device(s) 84.83 = Revision of pedicle-based dynamic stabilisation device(s) 84.84 = Insertion of replacement of facet replacement device(s) 84.85 = Revision of facet replacement
CBGB 36.1 = Bypass anastomosis for heart revascularisation 36.10 = Aortocoronary bypass for heart revascularisation 36.11 = Aortocoronary bypass of one coronary artery 36.12 = Aortocoronary bypass of two coronary arteries 36.13 = Aortocoronary bypass of three coronary arteries 36.14 = Aortocoronary bypass of four or more coronary arteries 36.19 = Other bypass anastomosis for heart revascularisation	CBGB CABG
CBGC 36.15 = Single internal mammary-coronary artery bypass 36.16 = Double internal mammary-coronary artery bypass 36.17 = Abdominal – coronary artery bypass 36.2 = Heart revascularisation by arterial implant	CBGC <same></same>

ICD-9-CM code list of surgical procedures for EU surveillance	Other allowed operation codes included in NHSN
CHOL	CHOL
51.0 = Cholecystotomy and cholecystostomy	51.13 = Open biopsy of gallbladder or bile ducts
51.03 = Other cholecystostomy	
51.04 = Other cholecystotomy	
51.2 = Cholecystectomy	
51.21 = Other partial cholecystectomy	
51.22 = Cholecystectomy	
51.23 = Laparoscopic cholecystectomy	
51.24 = Laparoscopic partial cholecystectomy	
CSEC	CSEC
74.0 = Classical caesarean section	<same></same>
74.1 = Low cervical caesarean section	
74.2 = Extraperitoneal caesarean section	
74.4 = Caesarean section of other specified type	
74.9 = Caesarean section of unspecified type	
74.91 = Hysterotomy to terminate pregnancy	
74.99 = Other caesarean section of unspecified type	

Annex 3: Microorganisms code list

The code list is adapted from the original WHOCARE coding system. The current list is a selection of microorganisms based on their frequency of occurrence in healthcare-associated infections in different EU networks and infection types and/or on their public health importance. The minimal list represents the minimal level of detail that should be provided by every network.

Microorganism selection and minimal list

	Microorganism	Code	Minimal list
Gram-positive cocci	Staphylococcus aureus	STAAUR	STAAUR
	Staphylococcus epidermidis	STAEPI	
	Staphylococcus haemolyticus	STAHAE	STACNS
	Coag-neg. staphylococci, not specified	STACNS	STACKS
	Other coagulase-negative staphylococci (CNS)	STAOTH	
	Staphylococcus sp., not specified	STANSP	GPCTOT
	Streptococcus pneumoniae	STRPNE	
	Streptococcus agalactiae (B)	STRAGA	
	Streptococcus pyogenes (A)	STRPYO	STRSPP
	Other haemol. Streptococcae (C, G)	STRHCG	SIRSPP
	Streptococcus sp., other	STROTH	
	Streptococcus sp., not specified	STRNSP	
	Enterococcus faecalis	ENCFAE	
	Enterococcus faecium	ENCFAI	FNICCOR
	Enterococcus sp., other	ENCOTH	ENCSPP
	Enterococcus sp., not specified	ENCNSP	
	Gram-positive cocci, not specified	GPCNSP	CDCTOT
	Other Gram-positive cocci	GPCOTH	GPCTOT
Gram-negative cocci	Moraxella catharralis	MORCAT	
- 9	Moraxella sp., other	MOROTH	
	Moraxella sp., not specified	MORNSP	
	Neisseria meningitidis	NEIMEN	
	Neisseria sp., other	NEIOTH	GNCTOT
	Neisseria sp., not specified	NEINSP	
	Gram-negative cocci, not specified	GNCNSP	
	Other Gram-negative cocci	GNCOTH	
Gram-positive bacilli	Corynebacterium sp.	CORSPP	
oram positive bacim	Bacillus sp.	BACSPP	
	Lactobacillus sp.	LACSPP	
	Listeria monocytogenes	LISMON	GPBTOT
	Gram-positive bacilli, not specified	GPBNSP	
	Other Gram-positive bacilli	GPBOTH	
Enterobacteriaceae	Citrobacter freundii	CITFRE	
Enteropacteriaceae	Citrobacter koseri (e.g. diversus)	CITDIV	
		CITOTH	CITSPP
	Citrobacter sp., other Citrobacter sp., not specified	CITNSP	
	Enterobacter cloacae	ENBCLO	
	Enterobacter aerogenes	ENBAER	
	Enterobacter agglomerans	ENBAGG	ENDCDD
	Enterobacter sakazakii	ENBSAK	ENBSPP
	Enterobacter gergoviae	ENBGER	
	Enterobacter sp., other	ENBOTH	
	Enterobacter sp., not specified	ENBNSP	
	Escherichia coli	ESCCOL	ESCCOL
	Klebsiella pneumoniae	KLEPNE	
	Klebsiella oxytoca	KLEOXY	KLESPP
	Klebsiella sp., other	KLEOTH	_
	Klebsiella sp., not specified	KLENSP	
	Proteus mirabilis	PRTMIR	_
	Proteus vulgaris	PRTVUL	PRTSPP
	Proteus sp., other	PRTOTH	
	Proteus sp., not specified	PRTNSP	
	Serratia marcescens	SERMAR	
	Serratia liquefaciens	SERLIQ	SERSPP
	1.0	CEDOTII	JERJFF
	Serratia sp., other	SEROTH	
	Serratia sp., other Serratia sp., not specified	SERVIH	

	Microorganism	Code	Minimal list
	Morganella sp.	MOGSPP	Tillimia iist
	Providencia sp.	PRVSPP	
	Salmonella enteritidis	SALENT	
	Salmonella typhi or paratyphi	SALTYP	
	Salmonella typhimurium	SALTYM	
	Salmonella sp., not specified	SALNSP	
	Salmonella sp., other	SALOTH	
	Shigella sp.	SHISPP	
	<i>Yersinia</i> sp.	YERSPP	
	Other enterobacteriaceae	ETBOTH	
	Enterobacteriaceae, not specified	ETBNSP	
Gram-negative bacilli	Acinetobacter baumannii	ACIBAU	
	Acinetobacter calcoaceticus	ACICAL	
	Acinetobacter haemolyticus	ACIHAE	ACISPP
	Acinetobacter lwoffii	ACILWO	
	Acinetobacter sp., other	ACIOTH	
	Acinetobacter sp., not specified	ACINSP	
	Pseudomonas aeruginosa	PSEAER	PSEAER
	Stenotrophomonas maltophilia	STEMAL	STEMAL
	Burkholderia cepacia	BURCEP	
	Pseudomonadaceae family, other	PSEOTH	PSETOT
	Pseudomonadaceae family, not specified	PSENSP	
	Haemophilus influenzae	HAEINF	
	Haemophilus parainfluenzae	HAEPAI	HAESPP
	Haemophilus sp., other	HAEOTH	
	Haemophilus sp., not specified	HAENSP	LECCOD
	Legionella sp.	LEGSPP ACHSPP	LEGSPP
	Achromobacter sp.	AEMSPP	
	Aeromonas sp. Agrobacterium sp.	AGRSPP	—
	Alcaligenes sp.	ALCSPP	
	Campylobacter sp.	CAMSPP	
	Flavobacterium sp.	FLASPP	GNBTOT
	Gardnerella sp.	GARSPP	GRETOT
	Helicobacter pylori	HELPYL	
	Pasteurella sp.	PASSPP	
	Gram-neg Bacilli, not specified	GNBNSP	
	Other Gram-neg Bacilli, non enterobacteriaceae	GNBOTH	
Anaerobic bacilli	Bacteroides fragilis	BATFRA	
7aci obic baciii	Bacteroides other	BATOTH	BATSPP
	Clostridium difficile	CLODIF	
	Clostridium other	CLOOTH	
	Propionibacterium sp.	PROSPP	
	Prevotella sp.	PRESPP	ANATOT
	Anaerobes, not specified	ANANSP	
	Other anaerobes	ANAOTH	
Other bacteria	Mycobacterium, atypical	MYCATY	
	Mycobacterium tuberculosis complex	MYCTUB	
	Chlamydia sp.	CHLSPP	
	Mycoplasma sp.	MYPSPP	всттот
	Actinomyces sp.	ACTSPP	
	Nocardia sp.	NOCSPP	
	Other bacteria	BCTOTH	
Fungi	Candida albicans	CANALB	
	Candida glabrata	CANGLA	
	Candida krusei	CANKRU	
	Candida tropicalis	CANTRO	CANSPP
	Candida parapsilosis	CANPAR	
	Candida sp., other	CANOTH	
	Candida sp., not specified	CANNSP	
	Aspergillus fumigatus	ASPFUM	
	Aspergillus niger	ASPNIG	ASPSPP
	Aspergillus sp., other	ASPOTH	
	Aspergillus sp., not specified	ASPNSP	
	Other yeasts	YEAOTH	
	Fungi other Filaments other	FUNOTH FILOTH	PARTOT

	Microorganism	Code	Minimal list				
	Other parasites	PAROTH					
Virus	Adenovirus	VIRADV					
	Cytomegalovirus (CMV)	VIRCMV					
	Enterovirus (polio, coxsackie, echo)	VIRENT					
	Hepatitis A virus	VIRHAV					
	Hepatitis B virus	VIRHBV					
	Hepatitis C virus	VIRHCV					
	Herpes simplex virus	VIRHSV					
	Human immunodeficiency virus (HIV)	VIRHIV					
	Influenza A virus	VIRINA					
	Influenza B virus	VIRINB	VIRTOT				
	Influenza C virus	VIRINC	VIRIOI				
	Norovirus	VIRNOR					
	Parainfluenzavirus	VIRPIV					
	Respiratory syncytial virus (RSV)	VIRRSV					
	Rhinovirus	VIRRHI					
	Rotavirus	VIRROT					
	SARS virus	VIRSAR					
	Varicella-zoster virus	VIRVZV					
	Virus, not specified	VIRNSP					
	Other virus	VIROTH					
Microorganism not id	lentified or not found	_NONID	_NONID				
Examination not don	e	_NOEXA	_NOEXA				
Sterile examination		_STERI	_STERI				
Result not (yet) avail	lable or missing	_NA	_NA				

_NONID: evidence exists that a microbiological examination has been done, but the microorganism can not be correctly classified or the result of the examination can not be found; _NOEXA: no diagnostic sample taken, no microbiological examination done; _STERI: a microbiological examination has been done, but the result was negative (e.g. negative culture), _NA Result not (yet) available or missing.

Annex 4: Comparison of minimal and extended list of microorganisms

Microorganism	Code	Code (minimal list)
Gram-positive cocci		
Staphylococcus aureus	STAAUR	STAAUR
Coagulase-negative staphylococci (CNS)	STAEPI, STAHAE, STAOTH	STACNS
Enterococcus spp.	ENCFAE, ENCFAI, ENCOTH, ENCNSP	ENCSPP
Streptococcus spp.	STRPNE, STRAGA, STRPYO, STRHCG,	STRSPP
	STROTH, STRNSP	
Other Gram-positive cocci	STANSP, GPCOTH	GPCOTH
Gram-negative cocci	MORCAT, MOROTH, MORNSP, NEIMEN,	GNCOTH
	NEIOTH, NEINSP, GNCOTH	
Gram-positive bacilli	CORSPP, BACSPP, LACSPP, LISMON,	GPBTOT
	GPBOTH	
Gram-negative bacilli, Enterobacteriaceae		
Escherichia coli	ESCCOL	ESCCOL
Klebsiella spp.	KLEPNE, KLEOXY, KLEOTH, KLENSP	KLESPP
Enterobacter spp.	ENBCLO, ENBAER, ENBAGG, ENBSAK,	ENBSPP
	ENBGER, ENBOTH, ENBNSP	
Proteus spp.	PRTMIR, PRTVUL, PRTOTH, PRTNSP	PRTSPP
Citrobacter spp.	CITFRE, CITDIV, CITOTH, CITNSP	CITSPP
Serratia spp.	SERMAR, SERLIQ, SEOTH, SERNSP	SERSPP
Other enterobacteriaceae	HAFSPP, MOGSPP, PRVSPP, SALENT,	ETBTOT
	SALTYP, SALTYM, SALOTH, SHISPP,	
	YERSPP, ETBOTH, ETBNSP	
Gram-negative non-fermenetative bacilli	<u> </u>	<u></u>
Pseudomonas aeruginosa	PSEAER	PSEAER
Acinetobacter spp.	ACIBAU, ACICAL, ACIHAE, ACILWO,	ACISPP
	ACIOTH, ACINSP	
Stenotrophomonas maltophilia	STEMAL	STEMAL
Other pseudomonaceae	BURCEP, PSEOTH, PSENSP	PSETOT
Haemophilus spp.	HAEINF, HAEPAI, HAEOTH, HAENSP	HAESPP
Other Gram-negative rods	LEGSPP, ACHSPP, AEMSPP, AGRSPP,	LEGSPP, GNBTOT
	ALCSPP, CAMSPP, FLASPP, GARSPP,	
	HELPYL, PASSPP, GNBOTH	
Anaerobic bacilli		1
Bacteroides spp.	BATFRA, BATOTH	BATSPP
Other anaerobes	CLODIF, CLOOTH, PROSPP, PRESPP,	ANATOT
	ANAOTH	
Other bacteria	MYCATY, MYCTUB, CHLSPP, MYPSPP,	BCTTOT
	ACTSPP, NOCSPP, BCTOTH	
Fungi/parasites		
Candida spp.	CANALB, CANGLA, CANTRO, CANPAR,	CANSPP
	PANOTH, CANNSP	A CDCDD
Aspergillus spp.	ASPFUM, ASPNIG, ASPOTH, ASPNSP	ASPSPP
Other fungi/parasites	YEAOTH, FILOTH, PAROTH	PARTOT

Annex 5: Antimicrobial resistance markers and codes

Microorganisms	0	1	2	9
Staphylococcus spp.	Oxa- S MSSA	Oxa R MRSA	Glyco- I GISA	Unknown
Enterococcus spp.	Glyco-S	Glyco-R VRE		Unknown
Enterobacteriaceae Escherichia coli, Klebsiella spp.,	C3-S,	C3-R,	C3-R,	Unknown
Enterobacter spp., Proteus spp., Citrobacter spp., Serratia spp. Morganella sp. (see Annex 3 for microorganisms by category)	Car-S	Car-S	Car-R	
Pseudomonas spp., Acinetobacter spp.	Car-S	Car-R		Unknown

Oxa = oxacillin; Glyco = glycopeptides (vancomycin, teicoplanin); C3 = third-generation cephalosporins (cefotaxim or cetriaxone); Car = carbapenems (imipenem, meropenem, doripenem).

Annex 6: Antibiotic (antibiotic groups) tested for susceptibility list

_NOTEST = No antimircrobial susceptibility data available

C1G = Cephalosporins, first generation (cefalotin/cefazolin)

C2G = Cephalosporins, second generation

(cefuroxim/cefamandole/cefoxitin)

C3G = Cephalosporins, third generation

(cefotaxime/ceftriaxone)

C4G = Cephalosporins, fourth generation

(cefepime/cefpirome)

CAR = Carbapenems (imipenem, meropenem,

doripenem)

ESBL = ESBL (Extended beta-lactamase producer)

GLY = Glycopeptides (vancomycin/teicoplanin)

AMB = Amphotericin B

AMC = Amoxicillin/Clavulanic acid

AMK = Amikacin AMP = Ampicillin

AMX = Amoxicillin AZM = Azithromycin

CAS = Caspofungin CAZ = Ceftazidime

CIP = Ciprofloxacin

CLI = Clindamycin CLO = Cloxacillin

CLR = Clarithromycin

COL = Colistin

CRO = Ceftriaxone

CTX = Cefotaxime

DIC = Dicloxacillin ERY = Erythromycin

FCT = Flucytosine (5-fluorocytosine)

FLC = Flucloxacillin

FLU = Fluconazole

FOS = Fosfomycin

FOX = Cefoxitin

FUS = Fusidic acid

GEH = Gentamicin-high

GEN = Gentamicin

IPM = Imipenem

ITR = Itraconazole

KET = Ketoconazole

LNZ = Linezolid

LVX = Levofloxacin

MEM = Meropenem

MET = Methicillin

MFX = Moxifloxacin

NAL = Nalidic acid

NET = Netilmicin

NOR = Norfloxacin

OFX = Ofloxacin

OXA = Oxacillin

PEN = Penicillin

PIP = Piperacillin PIT = Piperacillin or ticarcillin

QDA = Quinupristin/Dalfopristin

RIF = Rifampin

SUL = Sulbactam

SXT = Trimethoprim/Sulfamethoxazole

(cotrimoxazole)

TCY = Tetracyclin

TEC = Teicoplanin

TIG = Tigecyclin TOB = Tobramycin

TZP = Piperacillin/Tazobactam

VAN = Vancomycin

Annex 7: Standard protocol

Variable names and attributes

HAISSI

Field	Name	Required	Repeatable	
(TransportLabel) Technical fields				
	l	I —		
RecordId	Record ID	True (Error)	No	
RecordType	Record type	True (Error)	No	
RecordTypeVersion	Record type version	No	No	
Subject	Subject	True (Error)	No	
DataSource	Data source	True (Error)	No	
ReportingCountry	Reporting country	True (Error)	No	
DateUsedForStatistics	Date used for statistics	True (Error)	No	
Status	Status	No	No	
Unit information				
NetworkId	Network identifier	No	No	
HospitalId	Hospital ID	True (Error)	No	
HospitalSize	Hospital size	True (Warning)	No	
HospitalType	Hospital type	No	No	
HospitalLocation	Region where hospital is located	No		
UnitId	Unit ID	No	No	
UnitSpeciality	Unit speciality	No	No	
PostDischargeMethod	Method used for post-discharge surveillance	No	True	

HAISSI\$OP

Field	Name	Required	Repeatable
(TransportLabel)			
Technical fields			
RecordId	Record ID	True (Error)	No
RecordType	Record type	True (Error)	No
ParentId	Parent ID	True (Error)	No
Patient information			
Age	Age	True (Warning)	No
Gender	Gender	True (Warning)	No
OutcomeHospital	Outcome form hospital	True (Warning)	No
Operation information			
OperationId	Operation ID	True (Error)	No
PatientCounter	Patient counter	No	No
DateOfOperation	Date of operation	True (Error)	No
DateOfHospitalAdmission	Date of hospital admission	True (Warning)	No
DateOfHospitalDischarge	Date of hospital discharge	True (Warning)	No
DateOfLastFollowup	Date of last follow-up post-discharge	No	No
OPCode	Operation code	True (Error)	No
ICD9CMCode	ICD-9-CM code	No	No
EndoscopicProc	Endoscopic procedure	True (Warning)	No
WoundClass	Wound contamination class	True (Warning)	No
OperationDur	Duration of operation	True (Warning)	No
UrgentOperation	Urgent operation	True (Warning)	No
ASAClassification	ASA classification	True (Warning)	No
Prophylaxis	Patient received surgical prophylaxis	No	No
SurgicalSiteInfection	Surgical site infection	True (Error)	No

HAISSI\$OP\$INF

Field	Name	Required	Repeatable
(TransportLabel)			
Technical fields			
RecordId	Record ID	True (Error)	No
RecordType	Record type	True (Error)	No
ParentId	Parent ID	True (Error)	No
Infection information	1		
DateOfOnset	Date of infection onset	True (Error)	No
SSIType	Type of infection	True (Error)	No
DateOfOnset	Date of infection onset	True (Error)	No

HAISSI\$OP\$INF\$RES

Field (TransportLabel)	Name	Required	Repeatable
Technical fields			
RecordId	Record ID	True (Error)	No
RecordType	Record type	True (Error)	No
ParentId	Parent ID	True (Error)	No
Infection information	ı		
ResultIsolate	Isolate result	True (Error)	No
Antibiotic	Antibiotic code	True	No
		(Warning)	
SIR	SIR	True	No
		(Warning)	

HAISSICOVERAGE

Name	Required	Repeatable
Decord type	Truo (Error)	No
,,	 	1.0
<u> </u>	1.14	No
, , , , , , , , , , , , , , , , , , ,		No
		No
		No
Year covered	True (Error)	No
Number of operations for coronary artery	True	No
bypass grafting	(Warning)	
Number of operations for colon surgery	True	No
	(Warning)	
Number of operations for cholecystectomy	True	No
	(Warning)	
Number of operations for caesarean section	True	No
'	(Warning)	
Number of operations for hip prosthesis	True	No
,	(Warning)	
Number of operations for knee prosthesis	True	No
	(Warning)	110
Number of operations for laminectomy		No
The second of th	1	
Record type		No
· · · · · · · · · · · · · · · · · · ·	· ,	No
	1.14	No
	Record type Record type version Subject Data source Reporting country Year covered Number of operations for coronary artery bypass grafting Number of operations for colon surgery	Record type True (Error) Record type version No Subject True (Error) Data source True (Error) Reporting country True (Error) Year covered True (Error) Number of operations for coronary artery bypass grafting (Warning) Number of operations for colon surgery True (Warning) Number of operations for cholecystectomy True (Warning) Number of operations for caesarean section True (Warning) Number of operations for hip prosthesis True (Warning) Number of operations for knee prosthesis True (Warning) Number of operations for laminectomy True (Warning) Record type True (Error) Record type True (Error)

Links between Record ID and Parent ID in different data sets levels

	5EL 3) IC	VCI	3																		
	1. RecordId= 6-9-10-14-7	2. RecordType	3. RecordTypeVersion		4. Subject	5. Data Source	6. ReportingCountry		7. DateUsedForStatistics	8. Status	9. Networklid		10. HospitalId	11. HospitalSize	12. HospitalType		13. MospitalLocation	14. UnitId	15. UnitSpecialty	16 DoctDischargeMathod		1 level
ı						if Re	eportir	na cou	ıntrv =	EU. N	etwor	kId=	01. Hc	spitalI	e.g. l d=02.	Reco Unit	rd I l	D = E . Datel	U-01 UsedF	-02- orStat	03-2	007, =2007
	1. RecordId= 3-7-13-9	2. RecordType	3. ParentId	4. Age	5. Gender	6. OutcomeHospital	7. OperationId	8. PatientCounter	9. DateOfOperation	10. DateOfHospitalAdmission	11. DateOfHospitalDischarge	12. DateOfLastFollowup	13. OPCode	14. ICD9CMCode	15. EndoscopicProc	16. WoundClass	17. OperationDur	18. UrgentOperation	19. ASAClassification	20. Prophylaxis	21. SurgicalSiteInfection	2 level
	1	7	CC	4	2	9	7	∞	6	1	1	1	-	1	1	1	1		1	7	7	
			_			<u> </u>		<u> </u>	e.g	. Rec	ord :	ID =	EU-	01-02 -C123,	2-03-	- 200	7-C1	.23-C	ABG-	-200	7/01 2007/	. /25, 01/25
	1. RecordId= 3-4	2. RecordType	3. ParentId	4. DateOfOnset		5. SSIType	3 level				. 0		011 Iu-	-C123,	Орсо		, 150,	Dates	Орста		2007,	01/23
			A		<u> </u>	е.	g. Re	cord	ID =	EU-0	01-0	2-03	3-200)7-C1	23-0	CABG	-200	0 7/01 if Da	L/25·	- 200 nset=	7/02 2007/	2 /05, 02/05
	1. RecordId= 3-4-5	2. RecordType	3. ParentId	4. ResultIsolate			b. SIK	4 level										20				, 33

e.g. Record ID = EU-01-02-03-2007-C123-CABG-2007/01/25-2007/02/05-ESCCOL-AMK, if ResultIsolate=ESCCOL, Antibiotic=AMK

Annex 8: Light protocol

Variable names and attributes

HAISSILIGHT

Field (TransportLabel)	Name	Required	Repeatable
Technical fields			
RecordId	Record ID	True (Error)	No
RecordType	Record type	True (Error)	No
RecordTypeVersion	Record type version	No	No
Subject	Subject	True (Error)	No
DataSource	Data source	True (Error)	No
ReportingCountry	Reporting country	True (Error)	No
DateUsedForStatistics	Date used for statistics	True (Error)	No
Status	Status	No	No
Unit information			
NetworkId	Network identifier	No	No
HospitalId	Hospital ID	True (Error)	No
HospitalSize	Hospital size	True (Warning)	No
HospitalType	Hospital type	No	No
HospitalLocation	Region where hospital is located	No	
UnitId	Unit ID	No	No
UnitSpeciality	Unit speciality	No	No
PostDischargeMethod	Method used for post-discharge surveillance	No	True

HAISSILIGHT\$OPCAT

Field	Name	Required	Repeatable
(TransportLabel)			
Technical fields			
RecordId	Record ID	True (Error)	No
RecordType	Record type	True (Error)	No
ParentId	Parent ID	True (Error)	No
Unit information			
PeriodStart	Start date of the time period covered by this denominator entry	True (Error)	No
PeriodEnd	End date of the time period covered by this denominator entry	True (Error)	No
OPCode	Operation code	True (Error)	No
ICD9CMCode	ICD-9-CM code	No	No
NumOperations	Number of operations	True (Error)	No
NumOperationsDisDate	Number of operations with known discharge date	True (Warning)	No
NumPatDaysHosp	Number of post-operation hospital patient days	True (Warning)	No

HAISSILIGHT\$OPCAT\$INF

Field (TransportLabel)	Name	Required	Repeatable
Technical fields			
RecordId	Record ID	True (Error)	No
RecordType	Record type	True (Error)	No
ParentId	Parent ID	True (Error)	No
Patient information			
Age	Age	True (Warning)	No
Gender	Gender	True (Warning)	No
OutcomeHospital	Outcome from hospital	True (Warning)	No
Infection information			
OperationId	Operation ID	True (Error)	No
DateOfOnset	Date of infection	True (Error)	No
SSIType	Type of infection	True (Error)	No
DateOfOperation	Date of operation	True (Warning)	No
DateOfHospitalDischarge	Date of hospital discharge	True (Warning)	No

HAISSILIGHT\$OPCAT\$INF\$RES

Field (TransportLabel)	Name	Required	Repeatable		
Technical fields					
RecordId	Record ID	True (Error)	No		
RecordType	Record type	True (Error)	No		
ParentId	Parent ID	True (Error)	No		
Infection information					
ResultIsolate	Isolate result	True (Error)	No		
Antibiotic	Antibiotic code	True (Warning)	No		
SIR	SIR	True (Warning)	No		

HAISSICOVERAGE

Field	Name	Required	Repeatable
(TransportLabel)			
Technical fields	T.B	T (5)	1.51
RecordType	Record type	True (Error)	No
RecordTypeVersion	Record type version	No	No
Subject	Subject	True (Error)	No
DataSource	Data source	True (Error)	No
ReportingCountry	Reporting country	True (Error)	No
DateUsedForStatistics	Year covered	True (Error)	No
Unit information			
NoOfOperationsCABG	Number of operations for	True (Warning)	No
·	coronary artery bypass grafting	, , ,	
NoOfOperationsCOLO	Number of operations for colon	True (Warning)	No
·	surgery	, , ,	
NoOfOperationsCHOL	Number of operations for	True (Warning)	No
·	cholecystectomy	, , ,	
NoOfOperationsCSEC	Number of operations for	True (Warning)	No
·	caesarean section	, , ,	
NoOfOperationsHPRO	Number of operations for hip	True (Warning)	No
·	prosthesis	, , ,	
NoOfOperationsKPRO	Number of operations for knee	True (Warning)	No
·	prosthesis	, , ,	
NoOfOperationsLAM	Number of operations for	True (Warning)	No
·	laminectomy	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
RecordType	Record type	True (Error)	No
RecordTypeVersion	Record type version	No	No
Subject	Subject	True (Error)	No

Links between Record ID and Parent ID in different data sets levels (light protocol)

sets levels (light protocor)																		
1. RecordId= 6-9-10-14-7	2. RecordType	3. RecordTypeVersion	4 Cubiart		5. Data Source	6. ReportingCountry	7. DateUsedForStatistics		8. Status	9. NetworkId	10. HospitalId	11. HospitalSize	12. HospitalType	13. HospitalLocation	14. UnitId	15. UnitSpecialty	16. PostDischargeMethod	1 level
		_		i	if Danc	ortina (countr	v –FI	l Not	workId	-01 H	e ospitalIc	e.g. Re	cord I	D = El	U-01-0) 2-03- Statistic	2007,
1. RecordId= 3-6-7	2. RecordType	3. ParentId	4. PeriodStart	5. PeriodEnd	6. OPCode	7. ICD9CMCode	8. NumOperation	9. NumOperationsDisDate	10. NumPatDaysHosp	2 level								
		1								_	e.g. Re	ecord 1	D = E	U-01-	02-03	2007	CABG	-36.2,
1. RecordId= 3-7-8	2. RecordType	3. ParentId	4. Age	5. Gender	6. OutcomeHospital	7. OperationId	8. DateOfOperation	9. DateOfHospitalDischarge	10. DateOfOnset	11. SSIType	3 level							le=36.2
						e.	g. Re	ecord	ID	= EU-	01-02	2-03-2	007-C	ABG-3	6.2-C	123- 2	007/0	1/25, 7/01/25
1. RecordId= 3-4-5	2. RecordType	3. ParentId	4. ResultIsolate	5. Antibiotic	6. SIR	4 level						п Орег	auon 10		DateOn	operauc	л I — ZUU.	,,01/23

e.g. Record ID = EU-01-02-03-2007-CABG-36.2-C123- 2007/01/25-ESCCOL-AMK,

Annex 9: Data entry forms

EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL	Surveillance of surgical site infections (HAI-Net-SSI) Hospital/unit data Please send data once a year, after the end of the surveillance period.								
Country code									
Network code									
Hospital ID	(The code provided by the national institution which is responsible for national surveillance.)								
Hospital Size									
(number of beds)									
Hospital Type	Primary (=PRIM)								
	☐ Secondary (=SEC) ☐ Tertiary (=TERT)								
	Specialized/Other (=SPEC)								
Hospital Location	Specialized, other (Si 26)								
(Region, NUTS-1 code)									
Unit ID (optional)									
Surgical Unit Specialty	☐ General/abdominal (=CA)								
(optional)	□ Cardiovascular (=CC)								
	☐ Mixed surgical/medical (=CM)								
	□ Neurosurgery (=CN)								
	Orthopedic surgery (=CO)								
	☐ Traumatology (=TR) ☐ Gynecology (=GY)								
	Other surgical specialty (=OTH)								
Post discharge method	□ Patients not followed up after discharge (=NONE)								
3	Patients followed-up after discharge and post-discharge follow-up method used:								
	□ Detection at readmission (=READM)								
	□ Reporting on surgeon's initiative (=REPSURG)								
	□ Reporting on GP's initiative (=REPGP)								
	□ Reporting on patient's initiative (=REPPAT)								
	Obtained by Infection control staff from surgeon (=ICSURG)								
	Obtained by Infection control staff from GP (=ICGP)								
	Obtained by Infection control staff from patient (=ICPAT)								
	□ Other								

EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL	Surveillance of surgical site infections (HAI-Net-SSI) Standard protocol — patient/infection data Please fill out data for each patient who had surgery.								
Hospital ID									
Unit ID (optional)									
Operation ID									
Age at date of operation in years		yea	rs						
Gender	☐ Male ☐ Female ☐	Other	☐ Unknown						
Outcome form hospital	☐ Alive ☐ Dead in h	ospital	□ Unknown						
Date of Operation (YYYY-MM-DD)	//	'							
Date of Hospital admission									
(YYYY-MM-DD)	/								
Date of Hospital discharge									
(YYYY-MM-DD)	/								
Date of last follow-up post-discharge (YYYY-MM-DD)	//								
Operation Code	□ CBGB □ CBGC □	CABG (ı	not specified)						
	□ CHOL □ COLO □	CSEC [□ HPRO □ KPRO □ L	AM					
Operation ICD-9-CM Code		_•							
Endoscopic procedure	☐ YES (laparoscopic)) □ NO	□ Unknown						
Wound contamination class	□ W1 Clean □ W2 C	lean- co	ontaminated						
	☐ W3 Contaminated	□ W4 I	Dirty or infected $\ \square$	Unkno	wn				
Duration of operation in minutes		min	utes						
Urgent operation	☐ YES (Urgent) ☐ N	O (Elect	tive) 🗆 Unknown						
ASA classification	☐ A1 Normally healt	hy patie	nt						
	☐ A2 Patient with mi	ild syste	mic disease						
	☐ A3 Patient with se	vere sys	stemic disease						
		•			nat is constant threat				
	☐ A5 Moribund patie without operation	ent who	is not expected to s	urvive	for 24 hours with or				
	□ Unknown								
Antibiotic prophylaxis	☐ YES ☐ NO ☐ Unkno								
Surgical Site Infection	☐ YES ☐ NO ☐ Unkno	own							
Date of Infection (YYYY-MM-DD)									
Type of Infection	☐ Superficial incision☐ Organ/space (=0)	. ,	□ Deep incisional (nknown	(=D)					
Isolate result	1.	R	2.	R	3.	R			
Antibiotic code and resistance data*									

^{*}e.g. STAAUR/0=MSSA, STAAUR/1=MRSA, STAAUR/9=S. aureus, oxacillin sensitivity unknown; see Annex 5.

EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL	Surveillance of surgical site infections (HAI-Net-SSI) Light protocol — denominator data Please fill in data for each operation type which you have surveyed.				
Country code					
Network code					
Hospital ID					
	(The code provided by the national institution which is responsible for national surveillance.)				
Unit ID (optional)					
Operation type	□ CBGB □ CBGC □ CABG (not specified)				
	□ CHOL □ COLO □ CSEC □ HPRO □ KPRO □ LAM				
ICD9 code (optional)					
Surveillance period started*					
Surveillance period ended*					
Number of operations					
Number of operations with known discharge date					
Number of postoperative patient-days					

^{*} The minimum period between 'Surveillance period started' and 'Surveillance period ended' should be one month.

EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL	Surveillance of surgical site infections (HAI-Net-SSI) Light protocol — infection data Please fill in data for each patient with surgical site infection.							
Hospital ID								
Unit ID								
Operation ID								
Age at date of operation	years							
Gender	□ Male □ Female □ Other □ Unknown							
Outcome form hospital	☐ Alive ☐ Dead in hospital ☐ Unknown							
Date of Operation (YYYY-MM-DD)								
Date of Hospital discharge								
(YYYY-MM-DD)	///							
Operation Code	□ CBGB □ CBGC □ CABG(not specified) □ CHOL □ COLO □ CSEC □ HPRO □ KPRO □ LAM							
Operation ICD-9-CM Code								
Surgical Site Infection	YES							
Date of Infection (YYYY-MM-DD)								
Type of Infection	☐ Superficial incision	nal (=S)	□ Deep incis	sional (=D)				
	☐ Organ/space (=O) 🗆 U	nknown					
Isolate result	1.	R	2.	R	3.	R		
Antibiotic code and resistance data*								

^{*} e.g. STAAUR/0=MSSA, STAAUR/1=MRSA, STAAUR/9=S. aureus, oxacillin sensitivity unknown, see Annex 5.